



#5

Sheet 1 of 1

Form 1449 (Modified) Information Disclosure Statement By Applicant	Serial No.: 09/895,605
	Applicant: Stefek et al.
	Filing Date: June 29, 2001
	Examiner: Booker, Kelvin E.
	Art Unit: 2121
Atty. Docket No.: BARR0005	

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- class	Filing Date

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub- class	Translation	
							Yes	No

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
26	A	Baca, Sean P., Barge, Brian L., and Weiss, Richard A.; <u>The Rise of Sector Effects in Major Equity Markets</u> ; Association for Investment Management and Research 2000

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

 Kelvin Booker

5/4/04

RECEIVED

MAR 22 2004

Technology Center 2100